

Alight Financial Solutions Self-directed Brokerage Window Commission and Fee Schedule

Mutual Fund Only

Effective September 1, 2023

MUTUAL FUNDS - \$1000 Investment Minimum¹

No Transaction Fee (NTF) Funds

Eligible Funds	No Transaction Fee ²
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Transaction Fee Funds

Load Funds at NAV	No Fee ³
No Load, Low Load Funds	\$19.95 ⁴
Exchange Between Funds	\$10.00
Representative Assisted Fee	Additional \$25.00

Mutual Funds offered by prospectus only.⁵

Account Fees

Quarterly Maintenance Fee	\$0
Outgoing Transfer Fee	\$95 ⁶
Duplicate Statement	\$5

¹ \$1000 minimum applies unless fund prospectus states a higher minimum.

² NTF Funds redeemed or exchanged within three (3) calendar months of the settlement date or deposit date will incur a transaction fee of \$50.00. The no-transaction-fee eligibility applies only to the initial transaction fee associated with the purchase of the fund. It does not apply to any management fees or other expenses associated with the fund, including any redemption fees. For full details on these expenses/fees, please refer to the mutual fund prospectus.

³ Front end loaded funds or Class "A" funds are placed at Net Asset Value (NAV). Early redemption fees may apply. Not all share classes may be purchased in Self-directed Brokerage (SDB) Accounts; all purchases are governed by the terms and conditions in the fund prospectus.

⁴ Due to fund procedures orders placed for certain fund families will incur a \$10 surcharge in addition to the normal transaction fee. Please contact Alight Financial Solutions (AFS) for a list of affected fund families.

⁵ AFS may receive servicing fees from money market funds and mutual fund companies in addition to the clearing and execution fees charged to you. Please refer to the AFS Plan Participant Disclosure for additional details. Investors should consider carefully information contained in the mutual fund prospectus, including investment objectives, risks, charges, fund minimums and expenses. You can request a mutual fund prospectus by logging into your account and clicking on "Order Fund Prospectus" under the Mutual Fund Center.

⁶ SDB Accounts cannot transfer from AFS without prior approval or direction from the plan sponsor.

Securities offered through Alight Financial Solutions LLC, member FINRA, SIPC.
Alight Financial Solutions is a subsidiary of Alight Solutions LLC.

Commission and Fee Schedule subject to change without prior notice.